



Berberian Extension and its S -spectra in a Quaternionic Hilbert Space

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Abstract. For a bounded right linear operators A , in a right quaternionic Hilbert space $V_{\mathbb{H}}^R$, following the complex formalism, we study the Berberian extension A° , which is an extension of A in a right quaternionic Hilbert space obtained from $V_{\mathbb{H}}^R$. In the complex setting, the important feature of the Berberian extension is that it converts approximate point spectrum of A into point spectrum of A° . We show that the same is true for the quaternionic S -spectrum. As in the complex case, we use the Berberian extension to study some properties of the commutator of two quaternionic bounded right linear operators.

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1. Introduction

In 1962 Berberian extended a bounded linear operator A on a complex Hilbert space X to an operator A° on a complex Hilbert space obtained from X . An important feature of this extension is that it converts approximate point spectrum of A into point spectrum of A° [3]. This extension is also a useful tool in studying the spectrum of commutator of two bounded linear operators [11].

In the complex theory this extension goes as follows. Let X be a complex Hilbert space. Let $l^\infty(X)$ denotes the space of all bounded sequence of elements of X , and let $c_0(X)$ denote the space of all null sequences in X . Endowed with the canonical norm, the space $\mathfrak{X} = l^\infty(X)/c_0(X)$ is a Hilbert space into which X can be isometrically embedded. Every operator

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$A \in B(X)$, the set of all bounded linear operators on X , defines by component wise action an operator on $l^\infty(X)$ which leaves $c_0(X)$ invariant, and hence induces an operator $A^\circ \in B(\mathfrak{X})$. It is immediate that A° is an extension of A when X is regarded as a subspace of \mathfrak{X} , and that the mapping that assigns to each $A \in B(X)$ its Berberian extension $A^\circ \in B(\mathfrak{X})$ is an isometric algebra homomorphism.

In this note we shall study the Berberian extension of a quaternionic right linear operator A on a right quaternionic Hilbert space and show that the approximate point S-spectrum of A coincides with the point S-spectrum of the Berberian extension A° . Following the complex formalism given in [11], we shall also study certain S-spectral properties of the commutator of two quaternionic bounded right linear operators.

In the complex setting, in a complex Hilbert space or Banach space \mathfrak{H} , for a bounded linear operator, A , the spectrum is defined as the set of complex numbers λ for which the operator $Q_\lambda(A) = A - \lambda\mathbb{I}_\mathfrak{H}$, where $\mathbb{I}_\mathfrak{H}$ is the identity operator on \mathfrak{H} , is not invertible. In the quaternionic setting, let $V_\mathbb{H}^R$ be a separable right quaternionic Hilbert space or Banach space, A be a bounded right linear operator, and $R_q(A) = A^2 - 2\text{Re}(q)A + |q|^2\mathbb{I}_{V_\mathbb{H}^R}$, with $q \in \mathbb{H}$, the set of all quaternions, be the pseudo-resolvent operator. The S-spectrum is defined as the set of quaternions q for which $R_q(A)$ is not invertible. The notion of S-spectrum was introduced in 2006 by Colombo and Sabadini. The discovery and the importance of this spectrum is well explained in [6]. Further developments on the theory of S-spectrum can be found in the book [7]. In the complex case various classes of spectra, such as approximate point spectrum, surjectivity spectrum etc. are defined by placing restrictions on the operator $Q_\lambda(A)$. In this regard, in the quaternionic setting, these spectra are also defined by placing the same restrictions to the operator $R_q(A)$ [12, 14].

Due to the non-commutativity, in the quaternionic case there are three types of Hilbert spaces: left, right, and two-sided, depending on how vectors are multiplied by scalars. This fact can entail several problems. For example, when a Hilbert space \mathcal{H} is one-sided (either left or right) the set of linear operators acting on it does not have a linear structure. Moreover, in a one sided quaternionic Hilbert space, given a linear operator A and a quaternion $q \in \mathbb{H}$, in general we have that $(qA)^\dagger \neq \bar{q}A^\dagger$ (see [13] for details). These restrictions can severely prevent the generalization to the quaternionic case of results valid in the complex setting. Even though most of the linear spaces are one-sided, it is possible to introduce a notion of multiplication on both sides by fixing an arbitrary Hilbert basis of \mathcal{H} . This fact allows to have a linear structure on the set of linear operators, which is a minimal requirement to develop a full theory.

2. Mathematical Preliminaries

In order to make the paper self-contained, we recall some facts about quaternions which may not be well-known. For details we refer the reader to [1, 10, 15].

2.1. Quaternions

Let \mathbb{H} denote the field of all quaternions and \mathbb{H}^* the group (under quaternionic multiplication) of all invertible quaternions. A general quaternion can be written as

$$q = q_0 + q_1\mathbf{i} + q_2\mathbf{j} + q_3\mathbf{k}, \quad q_0, q_1, q_2, q_3 \in \mathbb{R},$$

where $\mathbf{i}, \mathbf{j}, \mathbf{k}$ are the three quaternionic imaginary units, satisfying $\mathbf{i}^2 = \mathbf{j}^2 = \mathbf{k}^2 = -1$ and $\mathbf{ij} = \mathbf{k} = -\mathbf{ji}$, $\mathbf{jk} = \mathbf{i} = -\mathbf{kj}$, $\mathbf{ki} = \mathbf{j} = -\mathbf{ik}$. The quaternionic conjugate of q is

$$\bar{q} = q_0 - \mathbf{i}q_1 - \mathbf{j}q_2 - \mathbf{k}q_3,$$

while $|q| = (q\bar{q})^{1/2}$ denotes the usual norm of the quaternion q . If q is non-zero element, it has inverse $q^{-1} = \frac{\bar{q}}{|q|^2}$.

2.2. Quaternionic Hilbert Spaces

In this subsection we discuss right quaternionic Hilbert spaces. For more details we refer the reader to [1, 10, 15].

2.2.1. Right Quaternionic Hilbert Space. Let $V_{\mathbb{H}}^R$ be a vector space under right multiplication by quaternions. For $\phi, \psi, \omega \in V_{\mathbb{H}}^R$ and $q \in \mathbb{H}$, the inner product

$$\langle \cdot | \cdot \rangle_{V_{\mathbb{H}}^R} : V_{\mathbb{H}}^R \times V_{\mathbb{H}}^R \longrightarrow \mathbb{H}$$

satisfies the following properties

- (i) $\overline{\langle \phi | \psi \rangle_{V_{\mathbb{H}}^R}} = \langle \psi | \phi \rangle_{V_{\mathbb{H}}^R}$
- (ii) $\|\phi\|_{V_{\mathbb{H}}^R}^2 = \langle \phi | \phi \rangle_{V_{\mathbb{H}}^R} > 0$ unless $\phi = 0$, a real norm
- (iii) $\langle \phi | \psi + \omega \rangle_{V_{\mathbb{H}}^R} = \langle \phi | \psi \rangle_{V_{\mathbb{H}}^R} + \langle \phi | \omega \rangle_{V_{\mathbb{H}}^R}$
- (iv) $\langle \phi | \psi q \rangle_{V_{\mathbb{H}}^R} = \langle \phi | \psi \rangle_{V_{\mathbb{H}}^R} q$
- (v) $\langle \phi q | \psi \rangle_{V_{\mathbb{H}}^R} = \bar{q} \langle \phi | \psi \rangle_{V_{\mathbb{H}}^R}$

where \bar{q} stands for the quaternionic conjugate. It is always assumed that the space $V_{\mathbb{H}}^R$ is complete under the norm given above and separable. Then, together with $\langle \cdot | \cdot \rangle_{V_{\mathbb{H}}^R}$ this defines a right quaternionic Hilbert space. Quaternionic Hilbert spaces share many of the standard properties of complex Hilbert spaces. Every separable quaternionic Hilbert space posses a basis. It should be noted that once a Hilbert basis is fixed, every left (resp. right) quaternionic Hilbert space also becomes a right (resp. left) quaternionic Hilbert space [10, 15].

The field of quaternions \mathbb{H} itself can be turned into a left quaternionic Hilbert space by defining the inner product $\langle q | q' \rangle = q\bar{q}'$ or into a right quaternionic Hilbert space with $\langle q | q' \rangle = \bar{q}q'$.

3. Right Quaternionic Linear Operators and Some Basic Properties

In this section we shall define right \mathbb{H} -linear operators and recall some basis properties. Most of them are very well known. In this manuscript, we follow

the notations in [2, 10]. We shall also recall some results pertinent to the development of the paper.

Definition 3.1. A mapping $A : \mathcal{D}(A) \subseteq V_{\mathbb{H}}^R \longrightarrow V_{\mathbb{H}}^R$, where $\mathcal{D}(A)$ stands for the domain of A , is said to be right \mathbb{H} -linear operator or, for simplicity, right linear operator, if

$$A(\phi\mathbf{a} + \psi\mathbf{b}) = (A\phi)\mathbf{a} + (A\psi)\mathbf{b}, \text{ if } \phi, \psi \in \mathcal{D}(A) \text{ and } \mathbf{a}, \mathbf{b} \in \mathbb{H}.$$

The set of all right linear operators from $V_{\mathbb{H}}^R$ to $V_{\mathbb{H}}^R$ will be denoted by $\mathcal{L}(V_{\mathbb{H}}^R)$ and the identity linear operator on $V_{\mathbb{H}}^R$ will be denoted by $\mathbb{I}_{V_{\mathbb{H}}^R}$. For a given $A \in \mathcal{L}(V_{\mathbb{H}}^R)$, the range and the kernel will be

$$\begin{aligned} \text{ran}(A) &= \{\psi \in V_{\mathbb{H}}^R \mid A\phi = \psi \text{ for } \phi \in \mathcal{D}(A)\} \\ \text{ker}(A) &= \{\phi \in \mathcal{D}(A) \mid A\phi = 0\}. \end{aligned}$$

We call an operator $A \in \mathcal{L}(V_{\mathbb{H}}^R)$ bounded if

$$\|A\| = \sup_{\|\phi\|_{V_{\mathbb{H}}^R}=1} \|A\phi\|_{V_{\mathbb{H}}^R} < \infty, \tag{3.1}$$

or equivalently, there exist $K \geq 0$ such that $\|A\phi\|_{V_{\mathbb{H}}^R} \leq K\|\phi\|_{V_{\mathbb{H}}^R}$ for all $\phi \in \mathcal{D}(A)$. The set of all bounded right linear operators from $V_{\mathbb{H}}^R$ to $V_{\mathbb{H}}^R$ will be denoted by $B(V_{\mathbb{H}}^R)$.

Assume that $V_{\mathbb{H}}^R$ is a right quaternionic Hilbert space, A is a right linear operator acting on it. Then, there exists a unique linear operator A^\dagger such that

$$\langle \psi \mid A\phi \rangle_{V_{\mathbb{H}}^R} = \langle A^\dagger\psi \mid \phi \rangle_{V_{\mathbb{H}}^R}; \text{ for all } \phi \in \mathcal{D}(A), \psi \in \mathcal{D}(A^\dagger), \tag{3.2}$$

where the domain $\mathcal{D}(A^\dagger)$ of A^\dagger is defined by

$$\mathcal{D}(A^\dagger) = \{\psi \in V_{\mathbb{H}}^R \mid \exists \varphi \text{ such that } \langle \psi \mid A\phi \rangle_{V_{\mathbb{H}}^R} = \langle \varphi \mid \phi \rangle_{V_{\mathbb{H}}^R}\}.$$

Proposition 3.2. [10] *Let $A, B \in B(V_{\mathbb{H}}^R)$ then*

- (a) $(A + B)^\dagger = A^\dagger + B^\dagger$.
- (b) $(AB)^\dagger = B^\dagger A^\dagger$.

We shall need the following results which are already appeared in [10, 12].

Proposition 3.3. *Let $A \in B(V_{\mathbb{H}}^R)$. Then*

- (a) $\text{ran}(A)^\perp = \text{ker}(A^\dagger)$.
- (b) $\text{ker}(A) = \text{ran}(A^\dagger)^\perp$.
- (c) $\text{ker}(A)$ is closed subspace of $V_{\mathbb{H}}^R$.

Theorem 3.4. [12] (Bounded inverse theorem) *Let $A \in B(V_{\mathbb{H}}^R)$, then the following results are equivalent.*

- (a) A has a bounded inverse on its range.
- (b) A is bounded below.
- (c) A is injective and has a closed range.

Proposition 3.5. [12] *Let $A \in \mathcal{B}(V_{\mathbb{H}}^R)$. Then,*

- (a) A is invertible if and only if it is injective with a closed range (i.e., $\ker(A) = \{0\}$ and $\overline{\text{ran}(A)} = \text{ran}(A)$).
- (b) A is left (right) invertible if and only if A^\dagger is right (left) invertible.

Proposition 3.6. [12] $A \in B(V_{\mathbb{H}}^R)$ is surjective if and only if A is right invertible.

Proposition 3.7. $A \in B(V_{\mathbb{H}}^R)$ is injective if and only if A is left invertible.

Proof. From point (b) of Proposition 3.5, point (b) of Proposition 3.3, and Proposition 3.6, we have, A is left invertible $\Leftrightarrow A^\dagger$ is right invertible $\Leftrightarrow \text{ran}(A^\dagger) = V_{\mathbb{H}}^R \Leftrightarrow \ker(A) = \{0\}$. This completes the proof. \square

3.1. Left Scalar Multiplications on $V_{\mathbb{H}}^R$

We shall extract the definition and some properties of left scalar multiples of vectors on $V_{\mathbb{H}}^R$ from [10] as needed for the development of the manuscript. The left scalar multiple of vectors on a right quaternionic Hilbert space is an extremely non-canonical operation associated with a choice of preferred Hilbert basis. Since $V_{\mathbb{H}}^R$ is a separable Hilbert space, $V_{\mathbb{H}}^R$ has a Hilbert basis

$$\mathcal{O} = \{\varphi_k \mid k \in N\}, \tag{3.3}$$

where N is a countable index set. The left scalar multiplication on $V_{\mathbb{H}}^R$ induced by \mathcal{O} is defined as the map $\mathbb{H} \times V_{\mathbb{H}}^R \ni (\mathbf{q}, \phi) \longmapsto \mathbf{q}\phi \in V_{\mathbb{H}}^R$ given by

$$\mathbf{q}\phi := \sum_{k \in N} \varphi_k \mathbf{q} \langle \varphi_k \mid \phi \rangle_{V_{\mathbb{H}}^R}, \tag{3.4}$$

for all $(\mathbf{q}, \phi) \in \mathbb{H} \times V_{\mathbb{H}}^R$.

Proposition 3.8. [10] *The left product defined in the Eq. 3.4 satisfies the following properties. For every $\phi, \psi \in V_{\mathbb{H}}^R$ and $\mathbf{p}, \mathbf{q} \in \mathbb{H}$,*

- (a) $\mathbf{q}(\phi + \psi) = \mathbf{q}\phi + \mathbf{q}\psi$ and $\mathbf{q}(\phi\mathbf{p}) = (\mathbf{q}\phi)\mathbf{p}$.
- (b) $\|\mathbf{q}\phi\|_{V_{\mathbb{H}}^R} = |\mathbf{q}|\|\phi\|_{V_{\mathbb{H}}^R}$.
- (c) $\mathbf{q}(\mathbf{p}\phi) = (\mathbf{q}\mathbf{p})\phi$.
- (d) $\langle \bar{\mathbf{q}}\phi \mid \psi \rangle_{V_{\mathbb{H}}^R} = \langle \phi \mid \mathbf{q}\psi \rangle_{V_{\mathbb{H}}^R}$.
- (e) $r\phi = \phi r$, for all $r \in \mathbb{R}$.
- (f) $\mathbf{q}\varphi_k = \varphi_k \mathbf{q}$, for all $k \in N$.

Remark 3.9. (1) The meaning of writing $\mathbf{p}\phi$ is $\mathbf{p} \cdot \phi$, because the notation from the Eq. 3.4 may be confusing, when $V_{\mathbb{H}}^R = \mathbb{H}$. However, regarding the field \mathbb{H} itself as a right \mathbb{H} -Hilbert space, an orthonormal basis \mathcal{O} should consist only of a singleton, say $\{\varphi_0\}$, with $|\varphi_0| = 1$, because we clearly have $\theta = \varphi_0 \langle \varphi_0 \mid \theta \rangle$, for all $\theta \in \mathbb{H}$. The equality from (f) of Proposition 3.8 can be written as $\mathbf{p}\varphi_0 = \varphi_0 \mathbf{p}$, for all $\mathbf{p} \in \mathbb{H}$. In fact, the left hand may be confusing and it should be understood as $\mathbf{p} \cdot \varphi_0$, because the true equality $\mathbf{p}\varphi_0 = \varphi_0 \mathbf{p}$ would imply that $\varphi_0 = \pm 1$. For the simplicity, we are writing $\mathbf{p}\phi$ instead of writing $\mathbf{p} \cdot \phi$.

- (2) Also one can trivially see that $(\mathbf{p} + \mathbf{q})\phi = \mathbf{p}\phi + \mathbf{q}\phi$, for all $\mathbf{p}, \mathbf{q} \in \mathbb{H}$ and $\phi \in V_{\mathbb{H}}^R$.

Furthermore, the quaternionic left scalar multiplication of linear operators is also defined in [5, 10]. For any fixed $\mathfrak{q} \in \mathbb{H}$ and a given right linear operator $A : V_{\mathbb{H}}^R \rightarrow V_{\mathbb{H}}^R$, the left scalar multiplication of A is defined as a map $\mathfrak{q}A : V_{\mathbb{H}}^R \rightarrow V_{\mathbb{H}}^R$ by the setting

$$(\mathfrak{q}A)\phi := \mathfrak{q}(A\phi) = \sum_{k \in N} \varphi_k \mathfrak{q} \langle \varphi_k | A\phi \rangle_{V_{\mathbb{H}}^R}, \tag{3.5}$$

for all $\phi \in V_{\mathbb{H}}^R$. It is straightforward that $\mathfrak{q}A$ is a right linear operator. We can define right scalar multiplication of the right linear operator $A : V_{\mathbb{H}}^R \rightarrow V_{\mathbb{H}}^R$ as a map $A\mathfrak{q} : V_{\mathbb{H}}^R \rightarrow V_{\mathbb{H}}^R$ by the setting

$$(A\mathfrak{q})\phi := A(\mathfrak{q}\phi), \tag{3.6}$$

for all $\phi \in V_{\mathbb{H}}^R$. It is also right linear operator. One can easily see that

$$(\mathfrak{q}A)^\dagger = A^\dagger \bar{\mathfrak{q}} \text{ and } (A\mathfrak{q})^\dagger = \bar{\mathfrak{q}} A^\dagger. \tag{3.7}$$

3.2. S-spectrum

For a given right linear operator $A : \mathcal{D}(A) \subseteq V_{\mathbb{H}}^R \rightarrow V_{\mathbb{H}}^R$ and $\mathfrak{q} \in \mathbb{H}$, we define the operator $R_{\mathfrak{q}}(A) : \mathcal{D}(A^2) \rightarrow \mathbb{H}$ by

$$R_{\mathfrak{q}}(A) = A^2 - 2\text{Re}(\mathfrak{q})A + |\mathfrak{q}|^2 \mathbb{I}_{V_{\mathbb{H}}^R},$$

where $\mathfrak{q} = q_0 + \mathbf{i}q_1 + \mathbf{j}q_2 + \mathbf{k}q_3$ is a quaternion, $\text{Re}(\mathfrak{q}) = q_0$ and $|\mathfrak{q}|^2 = q_0^2 + q_1^2 + q_2^2 + q_3^2$.

In the literature, the operator is called pseudo-resolvent since it is not the resolvent operator of A but it is the one related to the notion of spectrum as we shall see in the next definition. For more information, on the notion of S -spectrum the reader may consult e.g. [4, 5, 9, 10].

Definition 3.10. Let $A : \mathcal{D}(A) \subseteq V_{\mathbb{H}}^R \rightarrow V_{\mathbb{H}}^R$ be a right linear operator. The S -resolvent set (also called *spherical resolvent set*) of A is the set $\rho_S(A) (\subset \mathbb{H})$ such that the three following conditions hold true:

- (a) $\ker(R_{\mathfrak{q}}(A)) = \{0\}$.
- (b) $\text{ran}(R_{\mathfrak{q}}(A))$ is dense in $V_{\mathbb{H}}^R$.
- (c) $R_{\mathfrak{q}}(A)^{-1} : \text{ran}(R_{\mathfrak{q}}(A)) \rightarrow \mathcal{D}(A^2)$ is bounded.

The S -spectrum (also called *spherical spectrum*) $\sigma_S(A)$ of A is defined by setting $\sigma_S(A) := \mathbb{H} \setminus \rho_S(A)$. For a bounded linear operator A we can write the resolvent set as

$$\begin{aligned} \rho_S(A) &= \{ \mathfrak{q} \in \mathbb{H} \mid R_{\mathfrak{q}}(A) \text{ has an inverse in } B(V_{\mathbb{H}}^R) \} \\ &= \{ \mathfrak{q} \in \mathbb{H} \mid \ker(R_{\mathfrak{q}}(A)) = \{0\} \text{ and } \text{ran}(R_{\mathfrak{q}}(A)) = V_{\mathbb{H}}^R \} \end{aligned}$$

and the spectrum can be written as

$$\begin{aligned} \sigma_S(A) &= \mathbb{H} \setminus \rho_S(A) \\ &= \{ \mathfrak{q} \in \mathbb{H} \mid R_{\mathfrak{q}}(A) \text{ has no inverse in } B(V_{\mathbb{H}}^R) \} \\ &= \{ \mathfrak{q} \in \mathbb{H} \mid \ker(R_{\mathfrak{q}}(A)) \neq \{0\} \text{ or } \text{ran}(R_{\mathfrak{q}}(A)) \neq V_{\mathbb{H}}^R \}. \end{aligned}$$

The spectrum $\sigma_S(A)$ decomposes into three major disjoint subsets as follows:

(i) the *spherical point spectrum* of A :

$$\sigma_{pS}(A) := \{\mathfrak{q} \in \mathbb{H} \mid \ker(R_{\mathfrak{q}}(A)) \neq \{0\}\}.$$

(ii) the *spherical residual spectrum* of A :

$$\sigma_{rS}(A) := \{\mathfrak{q} \in \mathbb{H} \mid \ker(R_{\mathfrak{q}}(A)) = \{0\}, \overline{\text{ran}(R_{\mathfrak{q}}(A))} \neq V_{\mathbb{H}}^R\}.$$

(iii) the *spherical continuous spectrum* of A :

$$\sigma_{cS}(A) := \{\mathfrak{q} \in \mathbb{H} \mid \ker(R_{\mathfrak{q}}(A)) = \{0\}, \overline{\text{ran}(R_{\mathfrak{q}}(A))} = V_{\mathbb{H}}^R, R_{\mathfrak{q}}(A)^{-1} \notin B(V_{\mathbb{H}}^R)\}.$$

If $A\phi = \phi\mathfrak{q}$ for some $\mathfrak{q} \in \mathbb{H}$ and $\phi \in V_{\mathbb{H}}^R \setminus \{0\}$, then ϕ is called an *eigenvector of A with right eigenvalue \mathfrak{q}* . The set of right eigenvalues coincides with the point S -spectrum, see [10], Proposition 4.5.

Proposition 3.11. [8, 10] *For $A \in B(V_{\mathbb{H}}^R)$, the resolvent set $\rho_S(A)$ is a non-empty open set and the spectrum $\sigma_S(A)$ is a non-empty compact set.*

Remark 3.12. For $A \in B(V_{\mathbb{H}}^R)$, since $\sigma_S(A)$ is a non-empty compact set so is its boundary. That is, $\partial\sigma_S(A) = \partial\rho_S(A) \neq \emptyset$.

Proposition 3.13. [6] *Let $A \in B(V_{\mathbb{H}}^R)$. Then $\ker(R_{\mathfrak{q}}(A)) \neq \{0\}$ if and only if \mathfrak{q} is a right eigenvalue of A . In particular every right eigenvalue belongs to $\sigma_S(A)$.*

Definition 3.14. [12] Let $A \in B(V_{\mathbb{H}}^R)$. The *approximate S -point spectrum* of A , denoted by $\sigma_{ap}^S(A)$, is defined as

$$\begin{aligned} \sigma_{ap}^S(A) = \{ & \mathfrak{q} \in \mathbb{H} \mid \text{there is a sequence } \{\phi_n\}_{n=1}^{\infty} \\ & \text{such that } \|\phi_n\| = 1 \text{ and } \|R_{\mathfrak{q}}(A)\phi_n\| \longrightarrow 0\}. \end{aligned}$$

Proposition 3.15. [12] *Let $A \in B(V_{\mathbb{H}}^R)$, then $\sigma_{pS}(A) \subseteq \sigma_{ap}^S(A)$.*

Definition 3.16. [12, 14] The *spherical compression spectrum* of an operator $A \in B(V_{\mathbb{H}}^R)$, denoted by $\sigma_c^S(A)$, is defined as

$$\sigma_c^S(A) = \{\mathfrak{q} \in \mathbb{H} \mid \text{ran}(R_{\mathfrak{q}}(A)) \text{ is not dense in } V_{\mathbb{H}}^R\}.$$

Definition 3.17. [14] Let $A \in B(V_{\mathbb{H}}^R)$. The *surjectivity S -spectrum* of A is defined as

$$\sigma_{su}^S(A) = \{\mathfrak{q} \in \mathbb{H} \mid \text{ran}(R_{\mathfrak{q}}(A)) \neq V_{\mathbb{H}}^R\}.$$

Clearly we have

$$\sigma_c^S(A) \subseteq \sigma_{su}^S(A) \quad \text{and} \quad \sigma_S(A) = \sigma_{pS}(A) \cup \sigma_{su}^S(A). \tag{3.8}$$

Proposition 3.18. [12] *Let $A \in B(V_{\mathbb{H}}^R)$. Then A has the following properties.*

- (a) $\sigma_{pS}(A) \subseteq \sigma_c^S(A^\dagger)$ and $\sigma_c^S(A) = \sigma_{pS}(A^\dagger)$.
- (b) $\sigma_{su}^S(A) = \sigma_{ap}^S(A^\dagger)$ and $\sigma_{ap}^S(A) = \sigma_{su}^S(A^\dagger)$.
- (c) $\sigma_S(A) = \sigma_S(A^\dagger)$.

Proposition 3.19. [12] *If $A \in B(V_{\mathbb{H}}^R)$ and $\mathfrak{q} \in \mathbb{H}$, then the following statements are equivalent.*

- (a) $\mathfrak{q} \notin \sigma_{ap}^S(A)$.
- (b) $\ker(R_{\mathfrak{q}}(A)) = \{0\}$ and $\text{ran}(R_{\mathfrak{q}}(A))$ is closed.
- (c) There exists a constant $c \in \mathbb{R}$, $c > 0$ such that $\|R_{\mathfrak{q}}(A)\phi\| \geq c\|\phi\|$ for all $\phi \in \mathcal{D}(A^2)$.

Theorem 3.20. [10] *Let $V_{\mathbb{H}}^R$ be a right quaternionic Hilbert space equipped with a left scalar multiplication. Then the set $B(V_{\mathbb{H}}^R)$ equipped with the point-wise sum, with the left and right scalar multiplications defined in Eqs. 3.5 and 3.6, with the composition as product, with the adjunction $A \rightarrow A^\dagger$, as in 3.2, as $*$ -involution and with the norm defined in 3.1, is a quaternionic two-sided Banach C^* -algebra with unity $\mathbb{I}_{V_{\mathbb{H}}^R}$.*

One can observe that in the above theorem, if the left scalar multiplication is left out on $V_{\mathbb{H}}^R$, then $B(V_{\mathbb{H}}^R)$ becomes a real Banach C^* -algebra with unity $\mathbb{I}_{V_{\mathbb{H}}^R}$.

4. Berberian Extension in the Quaternionic Setting

Following the definition given in [3] for complex bounded sequences, we denote by glim a *Banach generalized limit* defined for bounded sequences $\{\mathfrak{q}_n\} \subseteq \mathbb{H}$ with the following properties. For $\mathfrak{q} \in \mathbb{H}$ and $\{\mathfrak{q}_n\}, \{\mathfrak{p}_n\} \subseteq \mathbb{H}$,

- (a) $\text{glim}(\mathfrak{q}_n + \mathfrak{p}_n) = \text{glim}(\mathfrak{q}_n) + \text{glim}(\mathfrak{p}_n)$;
- (b) $\text{glim}(\mathfrak{q}_n \mathfrak{q}) = \text{glim}(\mathfrak{q}_n) \mathfrak{q}$;
- (c) $\text{glim}(\mathfrak{q} \mathfrak{q}_n) = \mathfrak{q} \text{glim}(\mathfrak{q}_n)$;
- (d) $\text{glim}(\mathfrak{q}_n) = \lim_{n \rightarrow \infty} \mathfrak{q}_n$ whenever $\{\mathfrak{q}_n\}$ is convergent;
- (e) $\text{glim}(\mathfrak{q}_n) \geq 0$ when $\{\mathfrak{q}_n\} \subseteq \mathbb{R}$ and $\mathfrak{q}_n \geq 0$ for all n .

glim defines a positive linear form on the vector space \mathfrak{M} of all quaternionic bounded sequences and c_0 denotes the set of quaternionic null sequences, that is, sequences that converge to zero, and has the value 1 for the constant sequence $\{1\}$. From properties (a) and (e) of glim , $\text{glim}(\mathfrak{q}_n)$ is real whenever \mathfrak{q}_n is real for all n . Hence $\text{glim}(\overline{\mathfrak{q}_n}) = \overline{\text{glim}(\mathfrak{q}_n)}$ for any bounded sequence $\{\mathfrak{q}_n\} \subseteq \mathbb{H}$.

4.1. An extension of $V_{\mathbb{H}}^R$

Let

$$\mathcal{B} = \left\{ s = \{\phi_n\} \mid \{\phi_n\} \subseteq V_{\mathbb{H}}^R, \|\phi_n\|_{V_{\mathbb{H}}^R} < \infty \forall n, \text{ that is, } \{\|\phi_n\|_{V_{\mathbb{H}}^R}\} \in \mathfrak{M} \right\}.$$

If $s = \{\phi_n\}$ and $t = \{\psi_n\}$ write $s = t$ whenever $\phi_n = \psi_n$ for all n . Also

$$s + t = \{\phi_n + \psi_n\} \quad \text{and} \quad s\mathfrak{q} = \{\phi_n \mathfrak{q}\},$$

with these operations \mathcal{B} becomes a quaternionic right linear vector space. The left scalar multiplication, on \mathcal{B} , is defined as the map $\mathbb{H} \times \mathcal{B} \ni (\mathfrak{q}, s) \mapsto \mathfrak{q}s \in \mathcal{B}$ given by

$$\mathfrak{q}s := \{\mathfrak{q}\phi_n\}, \tag{4.1}$$

for all $(\mathfrak{q}, s) = (\mathfrak{q}, \{\phi_n\}) \in \mathbb{H} \times \mathcal{B}$, where for each $n \in \mathbb{N}$, $\mathfrak{q}\phi_n$ is given by the Definition 3.4. Suppose that $s = \{\phi_n\}, t = \{\psi_n\} \in \mathcal{B}$. Since

$$|\langle \phi_n | \psi_n \rangle_{V_{\mathbb{H}}^R}| \leq \|\phi_n\|_{V_{\mathbb{H}}^R} \|\psi_n\|_{V_{\mathbb{H}}^R}, \quad \text{for all } n,$$

it is permissible to define

$$\Phi(s, t) = \text{glim}(\langle \phi_n | \psi_n \rangle_{V_{\mathbb{H}}^R}).$$

We have the following properties for Φ .

- (a) Since $\langle \phi_n | \psi_n \rangle_{V_{\mathbb{H}}^R} = \overline{\langle \psi_n | \phi_n \rangle_{V_{\mathbb{H}}^R}}$, we have $\Phi(s, t) = \overline{\Phi(t, s)}$. That is, Φ is symmetric.
- (b) Since $\langle \phi_n | \phi_n \rangle_{V_{\mathbb{H}}^R} \geq 0$ for all n , $\Phi(s, s) \geq 0$ for all $s \in \mathcal{B}$. That is, Φ is positive.
- (c) Φ is a bilinear functional, in the sense that Φ is left-antilinear with respect to the first variable,

$$\Phi(r\mathbf{p} + s\mathbf{q}, t) = \bar{r}\Phi(\mathbf{p}, t) + \bar{s}\Phi(\mathbf{q}, t), \quad \text{for all } \mathbf{p}, \mathbf{q} \in \mathbb{H} \text{ and } r, s, t \in \mathcal{B},$$

and Φ is right-linear with respect to the second variable,

$$\Phi(s, r\mathbf{p} + t\mathbf{q}) = \Phi(s, r)\mathbf{p} + \Phi(s, t)\mathbf{q}, \quad \text{for all } \mathbf{p}, \mathbf{q} \in \mathbb{H} \text{ and } r, s, t \in \mathcal{B}.$$

From the Schwarz's inequality we have

$$|\Phi(s, t)|^2 \leq \Phi(s, s)\Phi(t, t).$$

Let

$$\mathfrak{N} = \{s \in \mathcal{B} \mid \Phi(s, s) = 0\} = \{s \in \mathcal{B} \mid \Phi(s, t) = 0 \forall t \in \mathcal{B}\}.$$

Clearly \mathfrak{N} is a right linear subspace of \mathcal{B} . Write $[s] = s + \mathfrak{N}$ for a coset. The quotient right linear vector space $\mathfrak{P} = \mathcal{B}/\mathfrak{N}$ becomes an inner product space by defining

$$\langle [s] \mid [t] \rangle_{\mathfrak{P}} = \Phi(s, t).$$

If $u = \{[\phi_n]\} = \{\phi_n\} + \mathfrak{N}$ and $v = \{[\psi_n]\} = \{\psi_n\} + \mathfrak{N}$, then

$$\langle u \mid v \rangle_{\mathfrak{P}} = \langle [\phi_n] \mid [\psi_n] \rangle_{\mathfrak{P}} = \text{glim}(\langle \phi_n \mid \psi_n \rangle_{V_{\mathbb{H}}^R}). \tag{4.2}$$

Using the left scalar multiplication defined on \mathcal{B} , by the Eq. 4.1, we can define a left scalar multiplication on \mathfrak{P} by the map $\mathbb{H} \times \mathfrak{P} \ni (\mathbf{q}, [s]) \mapsto \mathbf{q}[s] \in \mathfrak{P}$ given by

$$\mathbf{q}[s] := \mathbf{q}s + \mathfrak{N}, \tag{4.3}$$

for all $(\mathbf{q}, [s]) = (\mathbf{q}, s + \mathfrak{N}) \in \mathbb{H} \times \mathfrak{P}$. Following proposition provides some properties of the above defined left scalar multiplication:

Proposition 4.1. *The left product defined in the Eq. 4.3 satisfies the following properties. For every $[s], [t] \in \mathfrak{P}$ and $\mathbf{p}, \mathbf{q} \in \mathbb{H}$,*

- (a) $\mathbf{q}([s] + [t]) = \mathbf{q}[s] + \mathbf{q}[t]$ and $\mathbf{q}([s]\mathbf{p}) = (\mathbf{q}[s])\mathbf{p}$.
- (b) $\|\mathbf{q}[s]\|_{\mathfrak{P}} = |\mathbf{q}|\| [s] \|_{\mathfrak{P}}$.
- (c) $\mathbf{q}(\mathbf{p}[s]) = (\mathbf{q}\mathbf{p})[s]$.
- (d) $\langle \bar{\mathbf{q}}[s] \mid [t] \rangle_{\mathfrak{P}} = \langle [s] \mid \mathbf{q}[t] \rangle_{\mathfrak{P}}$.
- (e) $r[s] = [s]r$, for all $r \in \mathbb{R}$.

Proof. The proof immediately follows from the Proposition 3.8 together with the Eqs. 4.1 and 4.3. □

Let $\phi \in V_{\mathbb{H}}^R$, we write $\{\phi\}$ for the sequence all of whose terms are ϕ and ϕ' for the coset $\{[\phi]\} = \{\phi\} + \mathfrak{N}$. Evidently

$$\langle [\phi] \mid [\psi] \rangle_{\mathfrak{P}} = \langle \phi \mid \psi \rangle_{V_{\mathbb{H}}^R},$$

and $\phi \mapsto [\phi]$ is an isometric right linear mapping of $V_{\mathbb{H}}^R$ onto a closed linear subspace $V_{\mathbb{H}}^{R'}$ of \mathfrak{P} . Regard \mathfrak{P} as a linear subspace of its Hilbert space completion \mathfrak{H} . Then $V_{\mathbb{H}}^{R'}$ is a closed linear subspace of \mathfrak{H} and \mathfrak{P} is a dense linear subspace of \mathfrak{H} .

4.2. A Representation of $B(V_{\mathbb{H}}^R)$

Every operator A in $V_{\mathbb{H}}^R$ determines an operator A° in \mathfrak{H} as follows.

If $s = \{\phi_n\} \in \mathcal{B}$ then the relation $\|A\phi_n\|_{V_{\mathbb{H}}^R} \leq \|A\| \|\phi_n\|_{V_{\mathbb{H}}^R}$ shows that $\{A\phi_n\} \in \mathcal{B}$. Define

$$A_0 : \mathcal{B} \longrightarrow \mathcal{B} \quad \text{by} \quad A_0 s = \{A\phi_n\},$$

then A_0 is a right linear mapping such that

$$\Phi(A_0 s, A_0 s) \leq \|A\| \Phi(s, s).$$

In particular, if $s \in \mathfrak{N}$, that is $\Phi(s, s) = 0$, then $A_0 s \in \mathfrak{N}$. it follows that

$$A^\circ : \mathfrak{P} \longrightarrow \mathfrak{P} \quad \text{by} \quad \{[\phi_n]\} \mapsto \{[A\phi_n]\} \tag{4.4}$$

is a well-defined right linear map. Thus

$$A^\circ s' = (A_0 s)'$$

and the inequality

$$\langle A^\circ u \mid A^\circ u \rangle_{\mathfrak{P}} \leq \|A\|^2 \langle u \mid u \rangle_{\mathfrak{P}}$$

is valid for all $u \in \mathfrak{P}$. That is, $\|A^\circ u\|_{\mathfrak{P}} \leq \|A\| \|u\|_{\mathfrak{P}}$, for all $u \in \mathfrak{P}$. Hence A° is bounded (continuous), and $\|A^\circ\|_{\circ} \leq \|A\|$, $\|\cdot\|_{\circ}$ is the norm on $B(\mathfrak{H})$. The left scalar multiplication of A° by any $\mathfrak{q} \in \mathbb{H}$ is defined as a map $\mathfrak{q}A^\circ : \mathfrak{P} \longrightarrow \mathfrak{P}$ by the setting

$$(\mathfrak{q}A^\circ)\{[\phi_n]\} := \{[\mathfrak{q}(A\phi_n)]\}, \tag{4.5}$$

for all $\{[\phi_n]\} \in \mathfrak{P}$. It is straightforward that $\mathfrak{q}A^\circ$ is a right linear operator.

We also have the following properties for the operators:

Proposition 4.2. For $A, B \in B(V_{\mathbb{H}}^R)$ and $\mathfrak{q} \in \mathbb{H}$, we have

- (a) $(A + B)^\circ = A^\circ + B^\circ$,
- (b) $(\mathfrak{q}A)^\circ = \mathfrak{q}A^\circ$,
- (c) $(AB)^\circ = A^\circ B^\circ$,
- (d) $(A^\dagger)^\circ = (A^\circ)^\dagger$,
- (e) $\mathbb{I}_{V_{\mathbb{H}}^R}^\circ = \mathbb{I}_{V_{\mathbb{H}}^R}$,
- (f) $\|A^\circ\|_{\circ} = \|A\|$.

Proof. Proofs of (a), (c) and (e) are straightforward from the definition of A° . Assertion (b) immediately follows from the (definition) Eq. 4.5 as follows: for any $\{[\phi_n]\} \in \mathfrak{P}$,

$$(\mathfrak{q}A^\circ)\{[\phi_n]\} = \{[\mathfrak{q}(A\phi_n)]\} = \{[(\mathfrak{q}A)\phi_n]\} = (\mathfrak{q}A)^\circ\{[\phi_n]\}.$$

To verify (d), let $C = (A^\circ)^\dagger$ and $u = \{[\phi_n]\}$ and $v = \{[\psi_n]\}$. Then

$$\langle A^\circ u | v \rangle_{\mathfrak{P}} = \langle u | Cv \rangle_{\mathfrak{P}}.$$

This implies that

$$\begin{aligned} \langle u | Cv \rangle_{\mathfrak{P}} &= \langle A^\circ u | v \rangle_{\mathfrak{P}} = \text{glim}(\langle A\phi_n | \psi_n \rangle_{V_{\mathbb{H}}^R}) = \text{glim}(\langle \phi_n | A^\dagger \psi_n \rangle_{V_{\mathbb{H}}^R}) \\ &= \langle u | (A^\dagger)^\circ v \rangle_{\mathfrak{P}}. \end{aligned}$$

Therefore $(A^\dagger)^\circ = C = (A^\circ)^\dagger$, and this completes the proof of (d). Finally let us establish the equality $\|A^\circ\|_\circ = \|A\|$. Firstly note that for any $\phi \in V_{\mathbb{H}}^R$, from the Eq. 4.2, we have $\|\phi'\|_{\mathfrak{P}} = \|\phi\|_{V_{\mathbb{H}}^R}$. Now since $A^\circ \phi' = (A\phi)'$, for all $\phi \in V_{\mathbb{H}}^R$,

$$\|A^\circ\|_\circ = \sup_{\|\phi'\|_{\mathfrak{P}}=1} \|A^\circ \phi'\|_{\mathfrak{P}} = \sup_{\|\phi\|_{V_{\mathbb{H}}^R}=1} \|(A\phi)'\|_{\mathfrak{P}} = \sup_{\|\phi\|_{V_{\mathbb{H}}^R}=1} \|A\phi\|_{V_{\mathbb{H}}^R} = \|A\|.$$

Therefore the assertion (f) follows. □

The continuous right linear mapping A° extends to a unique right linear operator in \mathfrak{H} , which we also denote A° . Also in the Theorem 3.20, $B(V_{\mathbb{H}}^R)$ with left multiplication is a C^* -algebra with unity $\mathbb{I}_{V_{\mathbb{H}}^R}$. In the same manner, $B(\mathfrak{H})$ with left multiplication is a C^* -algebra with the same unity $\mathbb{I}_{V_{\mathbb{H}}^R}$. Also note that, no matter which Hilbert basis we choose to define a left multiplication the spaces $B(V_{\mathbb{H}}^R)$ and $B(\mathfrak{H})$ becomes C^* -algebras, and hence the results provided in this note are independent of the basis chosen.

Theorem 4.3. *The mapping*

$$B(V_{\mathbb{H}}^R) \longrightarrow B(\mathfrak{H}) \quad \text{by} \quad A \mapsto A^\circ$$

is a faithful $$ -representation.*

Proof. The assertion (c) of Proposition 4.2 verifies that the above map is a homomorphism. To check the injectivity of this map, suppose that $A, B \in B(V_{\mathbb{H}}^R)$ with $A^\circ = B^\circ$. Then for any $\{[\phi_n]\} \in \mathfrak{P}$, we have

$$\begin{aligned} \{[A\phi_n]\} &= \{[B\phi_n]\} \Rightarrow \{(A - B)\phi_n\} \in \mathfrak{N} \\ &\Rightarrow \text{glim}(\langle (A - B)\phi_n | (A - B)\phi_n \rangle_{V_{\mathbb{H}}^R}) = 0. \end{aligned}$$

Let $\phi \in V_{\mathbb{H}}^R$, and choose $\phi_n = \phi, \forall n \in \mathbb{N}$. Then $\|(A - B)\phi\|_{V_{\mathbb{H}}^R} = 0$. This concludes that $A = B$. Therefore the above map is injective. Hence the theorem follows. □

Suppose $A \geq 0$, that is $\langle A\phi | \phi \rangle_{V_{\mathbb{H}}^R} \geq 0$ for all $\phi \in V_{\mathbb{H}}^R$. If $u = \{\phi_n\}' \in \mathfrak{P}$, then $\langle A\phi_n | \phi_n \rangle_{V_{\mathbb{H}}^R} \geq 0$ for all n , hence

$$\langle A^\circ u | u \rangle_{\mathfrak{P}} = \text{glim} \langle A\phi_n | \phi_n \rangle_{V_{\mathbb{H}}^R} \geq 0.$$

Hence $\langle A^\circ v | v \rangle_{\mathfrak{P}} \geq 0$ for all $v \in \mathfrak{H}$. Thus clearly for an operator A in $V_{\mathbb{H}}^R$ we have

$$A \geq 0 \Leftrightarrow A^\circ \geq 0. \tag{4.6}$$

Proposition 4.4. *If $A \in B(V_{\mathbb{H}}^R)$, then $\sigma_{ap}^S(A^\circ) = \sigma_{ap}^S(A)$.*

Proof. Let $\mathfrak{q} \in \mathbb{H}$. Then, $\mathfrak{q} \notin \sigma_{ap}^S(A)$ if and only if there exists $\epsilon > 0$ such that $R_{\mathfrak{q}}(A^\dagger)R_{\mathfrak{q}}(A) \geq \epsilon \mathbb{I}_{V_{\mathbb{H}}^R}$. By Eq. 4.6, this condition is equivalent to $R_{\mathfrak{q}}((A^\circ)^\dagger)R_{\mathfrak{q}}(A^\circ) \geq \epsilon \mathbb{I}_{V_{\mathbb{H}}^R}$ thus $\mathfrak{q} \notin \sigma_{ap}^S(A^\circ)$. \square

The following theorem is the key result of Berberian extension.

Theorem 4.5. *For every operator $A \in B(V_{\mathbb{H}}^R)$, we have $\sigma_{ap}^S(A) = \sigma_{ap}^S(A^\circ) = \sigma_{pS}(A^\circ)$.*

Proof. From Propositions 3.15, 4.4 the relation $\sigma_{ap}^S(A) = \sigma_{ap}^S(A^\circ) \supseteq \sigma_{pS}(A^\circ)$ is clear. Let $\mathfrak{q} \in \sigma_{ap}^S(A)$. Then there exists a sequence $\{\phi_n\} \subseteq V_{\mathbb{H}}^R$ with $\|\phi_n\|_{V_{\mathbb{H}}^R} = 1$ such that $\|R_{\mathfrak{q}}(A)\phi_n\|_{V_{\mathbb{H}}^R} \rightarrow 0$. Set $u = \{\phi_n\}'$, clearly $\|u\|_{\mathfrak{P}} = 1$. Also

$$\|R_{\mathfrak{q}}(A^\circ)u\|_{\mathfrak{P}} = \text{glim}\|R_{\mathfrak{q}}(A)\phi_n\|_{V_{\mathbb{H}}^R} \rightarrow 0.$$

Therefore, by Proposition 3.13, \mathfrak{q} is a right eigenvalue of A° . Hence $\mathfrak{q} \in \sigma_{pS}(A^\circ)$, which completes the proof. \square

5. Application to Commutators in the Quaternionic Setting

In the complex setting, the Berberian extension is very useful in studying spectral properties of commutators [11]. Following the complex formalism, in this section, we shall study some properties of S-spectrum of commutators in the quaternionic setting.

Proposition 5.1. *Let $A, B \in B(V_{\mathbb{H}}^R)$ such that $AB = BA$, then*

- (a) $\sigma_{ap}^S(A + B) \subseteq \sigma_{ap}^S(A) + \sigma_{ap}^S(B)$,
- (b) $\sigma_{su}^S(A + B) \subseteq \sigma_{su}^S(A) + \sigma_{su}^S(B)$,
- (c) $\sigma_S(A + B) \subseteq \sigma_S(A) + \sigma_S(B)$.

Proof. (a) Since $AB = BA$ we have $A^\circ B^\circ = B^\circ A^\circ$. Let $\mathfrak{q} \in \sigma_{ap}^S(A + B) = \sigma_{pS}(A^\circ + B^\circ)$. Let $Z = \ker(R_{\mathfrak{q}}(A^\circ + B^\circ))$. then $Z \neq \emptyset$. Let $\psi \in A^\circ Z$, then $\psi = A^\circ \phi$ for some $\phi \in Z$ and also $R_{\mathfrak{q}}(A^\circ + B^\circ)\phi = 0$. Now

$$R_{\mathfrak{q}}(A^\circ + B^\circ)\psi = R_{\mathfrak{q}}(A^\circ + B^\circ)A^\circ \phi = A^\circ R_{\mathfrak{q}}(A^\circ + B^\circ)\phi = 0.$$

Therefore $\psi \in Z$, hence $A^\circ Z \subseteq Z$. That is, Z is invariant under A° , and therefore $\sigma_{ap}^S(A^\circ|Z) \neq \emptyset$. Let $\mathfrak{p} \in \sigma_{ap}^S(A^\circ|Z) = \sigma_{pS}(A^\circ|Z)$, hence $A^\circ - \mathfrak{p}\mathbb{I}_Z = 0$. Since $\mathfrak{q} \in \sigma_{pS}(A^\circ + B^\circ)$, we have $A^\circ + B^\circ - \mathfrak{q}\mathbb{I}_Z = 0$, that is $B^\circ = \mathfrak{q}\mathbb{I}_Z - A^\circ$.

Therefore,

$$B^\circ - (\mathfrak{q} - \mathfrak{p})\mathbb{I}_Z = -(A^\circ - \mathfrak{p})\mathbb{I}_Z = 0 \text{ on } Z.$$

Thus $\mathfrak{q} - \mathfrak{p} \in \sigma_{pS}(B^\circ|Z)$. Hence, from Proposition 4.4,

$$\begin{aligned} \mathfrak{q} &= \mathfrak{p} + (\mathfrak{q} - \mathfrak{p}) \in \sigma_{pS}(A^\circ) + \sigma_{pS}(B^\circ) = \sigma_{ap}^S(A^\circ) + \sigma_{ap}^S(B^\circ) \\ &= \sigma_{ap}^S(A) + \sigma_{ap}^S(B). \end{aligned}$$

This completes the proof of (a).

(b) Since $AB = BA$, we have $A^\dagger B^\dagger = B^\dagger A^\dagger$, and therefore (a) holds for A^\dagger, B^\dagger . Further from Proposition 3.18, part (b), $\sigma_{su}^S(A) = \sigma_{ap}^S(A^\dagger)$. Thus (b) follows.

(c) For any $A \in B(V_{\mathbb{H}}^R)$, from Eq. 3.8, Proposition 3.15, we have $\sigma_S(A) = \sigma_{pS}(A) \cup \sigma_{su}^S(A) \subseteq \sigma_{ap}^S(A) \cup \sigma_{su}^S(A)$. And clearly $\sigma_{ap}^S(A), \sigma_{su}^S(A) \subseteq \sigma_S(A)$. Therefore, from (a) and (b), we have

$$\sigma_{ap}^S(A + B) \subseteq \sigma_{ap}^S(A) + \sigma_{ap}^S(B) \subseteq \sigma_S(A) + \sigma_S(B)$$

and

$$\sigma_{su}^S(A + B) \subseteq \sigma_{su}^S(A) + \sigma_{su}^S(B) \subseteq \sigma_S(A) + \sigma_S(B).$$

Thus

$$\sigma_S(A + B) \subseteq \sigma_{ap}^S(A + B) \cup \sigma_{su}^S(A + B) \subseteq \sigma_S(A) + \sigma_S(B).$$

Hence the inclusion (c) holds. \square

Definition 5.2. Given $S, T \in B(V_{\mathbb{H}}^R)$, the commutator $C(S, T) : B(V_{\mathbb{H}}^R) \longrightarrow B(V_{\mathbb{H}}^R)$ is the mapping

$$C(S, T)(A) = SA - AT = \mathbf{L}_S(A) - \mathbf{R}_T(A), \quad \text{for all } A \in B(V_{\mathbb{H}}^R),$$

where $\mathbf{L}_S(A) = SA$ and $\mathbf{R}_T(A) = AT$. It is clear that $A \in B(V_{\mathbb{H}}^R)$ intertwines the pair (S, T) precisely when $C(S, T) = 0$.

Remark 5.3. It is worth noting the following results: for any $\mathfrak{q} \in \mathbb{H}$ and $S, T \in B(V_{\mathbb{H}}^R)$,

- (1) $\mathbf{L}_S \mathbf{R}_T = \mathbf{R}_T \mathbf{L}_S$,
- (2) $R_{\mathfrak{q}}(\mathbf{L}_S) = R_{\mathfrak{q}}(S)$,
- (3) $R_{\mathfrak{q}}(\mathbf{R}_T)A = AR_{\mathfrak{q}}(T)$; for all $A \in B(V_{\mathbb{H}}^R)$,
- (4) $\mathbf{L}_{R_{\mathfrak{q}}(S)} = R_{\mathfrak{q}}(\mathbf{L}_S)$,
- (5) $\mathbf{R}_{R_{\mathfrak{q}}(T)} = R_{\mathfrak{q}}(\mathbf{R}_T)$.

The verifications of these results are elementary.

The next proposition gathers some useful identities to prove the S -spectral properties of commutators which are provided in Theorem 5.5.

Proposition 5.4. For arbitrary operators $S, T \in B(V_{\mathbb{H}}^R)$ the following assertions hold true:

- (a) $\sigma_{ap}^S(\mathbf{L}_S) = \sigma_{ap}^S(S)$,
- (b) $\sigma_{ap}^S(\mathbf{R}_T) = \sigma_{su}^S(T)$,
- (c) $\sigma_{su}^S(\mathbf{L}_S) = \sigma_{su}^S(S)$,
- (d) $\sigma_{su}^S(\mathbf{R}_T) = \sigma_{ap}^S(T)$.

Proof. (a) To prove (a), let $\mathfrak{q} \in \sigma_{ap}^S(\mathbf{L}_S)$, then there exists a sequence $\{A_n\} \subseteq B(V_{\mathbb{H}}^R)$ with $\|A_n\| = 1$ such that $\|R_{\mathfrak{q}}(\mathbf{L}_S)A_n\| \rightarrow 0$. That is, $\|R_{\mathfrak{q}}(S)A_n\| \rightarrow 0$.

Set $\psi_n = \frac{\phi}{\|A_n \phi\|_{V_{\mathbb{H}}^R}}$, for all n and for some $0 \neq \phi \in V_{\mathbb{H}}^R$. Then $\|A_n \psi_n\|_{V_{\mathbb{H}}^R} = 1$,

for all n and $\|R_{\mathfrak{q}}(S)A_n \psi_n\|_{V_{\mathbb{H}}^R} \rightarrow 0$. Thus $\mathfrak{q} \in \sigma_{ap}^S(S)$ and $\sigma_{ap}^S(\mathbf{L}_S) \subseteq \sigma_{ap}^S(S)$.

To see the other inclusion, let $\mathfrak{q} \in \sigma_{ap}^S(S)$, then there exists a sequence $\{\psi_n\} \subseteq V_{\mathbb{H}}^R$ with $\|\psi_n\|_{V_{\mathbb{H}}^R} = 1$ and $\|R_{\mathfrak{q}}(S)\psi_n\|_{V_{\mathbb{H}}^R} \rightarrow 0$. Pick a linear functional Φ in $(V_{\mathbb{H}}^R)^*$ which is the dual of $V_{\mathbb{H}}^R$ with $\|\Phi\|^* = 1$, where $\|\cdot\|^*$ is a norm on the dual of $V_{\mathbb{H}}^R$. Define, for each n , an operator $A_n \in B(V_{\mathbb{H}}^R)$ by

$$A_n \phi = \psi_n \Phi(\phi), \quad \text{for all } \phi \in V_{\mathbb{H}}^R.$$

Then $\|A_n\| = 1$ for all n , and

$$\|R_q(\mathbf{L}_S)A_n\| = \|R_q(S)A_n\| = \|R_q(S)\psi_n\|_{V_{\mathbb{H}}^R} \rightarrow 0.$$

Thus $\mathfrak{q} \in \sigma_{ap}^S(\mathbf{L}_S)$ and therefore $\sigma_{ap}^S(\mathbf{L}_S) = \sigma_{ap}^S(S)$.

(b) To establish the equality $\sigma_{ap}^S(\mathbf{R}_T) = \sigma_{su}^S(T)$, let $\mathfrak{q} \notin \sigma_{su}^S(T)$, that is $R_q(T)$ is surjective. Now for each $A \in B(V_{\mathbb{H}}^R)$,

$$\|R_q(\mathbf{R}_T)A\| = \|AR_q(T)\| \geq \|AR_q(T)\phi\|_{V_{\mathbb{H}}^R}, \quad \text{for all } \phi \in V_{\mathbb{H}}^R.$$

That is, as $R_q(T)$ is surjective, $\|R_q(\mathbf{R}_T)A\| \geq \|A\psi\|_{V_{\mathbb{H}}^R}$, for all $\psi = R_q(T)\phi \in V_{\mathbb{H}}^R$. Hence

$$\|R_q(\mathbf{R}_T)A\| \geq \sup_{\|\psi\|=1} \|A\psi\|_{V_{\mathbb{H}}^R} = \|A\|, \quad \text{for all } A \in B(V_{\mathbb{H}}^R).$$

Therefore $R_q(\mathbf{R}_T)$ is bounded below, and hence by Proposition 3.19, $\mathfrak{q} \notin \sigma_{ap}^S(\mathbf{R}_T)$. Conversely suppose that $R_q(\mathbf{R}_T)$ is bounded below. Then there exists $c > 0$ such that $c\|A\| \leq \|R_q(\mathbf{R}_T)A\| = \|AR_q(T)\|$, for all $A \in B(V_{\mathbb{H}}^R)$; where $\|\cdot\|$ is the norm on $B(B(V_{\mathbb{H}}^R))$. Choose a unit vector $\psi \in V_{\mathbb{H}}^R$. For arbitrary linear functional $\Phi \in (V_{\mathbb{H}}^R)^*$, let $A_{\Phi} \in B(V_{\mathbb{H}}^R)$ given by

$$A_{\Phi}(\phi) = \psi\Phi(\phi), \quad \text{for all } \phi \in V_{\mathbb{H}}^R.$$

Then

$$c\|\Phi\|^* = c\|A_{\Phi}\| \leq \|A_{\Phi}R_q(T)\| = \|\Phi \circ R_q(T)\|, \quad \text{for all } \Phi \in (V_{\mathbb{H}}^R)^*.$$

Hence $R_q(T)^\dagger$ is bounded below. That is, by Proposition 3.4, $R_q(T)^\dagger$ is injective. Therefore by Propositions 3.3, $\text{ran}(R_q(T))^\perp = \ker(R_q(T)^\dagger) = \{0\}$, and so $R_q(T)$ is surjective. Thus we have $\sigma_{ap}^S(\mathbf{R}_T) = \sigma_{su}^S(T)$.

(c) Now

$$\begin{aligned} \mathfrak{q} \notin \sigma_{su}^S(\mathbf{L}_S) &\iff R_q(\mathbf{L}_S) \text{ is surjective} \iff R_q(S) \text{ is surjective} \\ &\iff \mathfrak{q} \notin \sigma_{su}^S(S). \end{aligned}$$

Therefore $\sigma_{su}^S(\mathbf{L}_S) = \sigma_{su}^S(S)$.

(d) In order to verify the inclusion $\sigma_{su}^S(\mathbf{R}_T) \subseteq \sigma_{ap}^S(T)$, let $\mathfrak{q} \notin \sigma_{ap}^S(T)$, then by Proposition 3.19, $R_q(T)$ is bounded below on $V_{\mathbb{H}}^R$. Therefore, by Proposition 3.4, $R_q(T)$ is injective. Thus by Proposition 3.7, $R_q(T)$ is left invertible on $V_{\mathbb{H}}^R$. Therefore, there exist $P \in B(V_{\mathbb{H}}^R)$ such that $PR_q(T) = \mathbb{I}_{V_{\mathbb{H}}^R}$. This implies that

$$R_q(\mathbf{R}_T)\mathbf{R}_P A = \mathbf{R}_P(A)R_q(T) = APR_q(T) = A, \quad \text{for all } A \in B(V_{\mathbb{H}}^R).$$

That is, $R_q(\mathbf{R}_T)$ is right invertible on $B(V_{\mathbb{H}}^R)$, thus by Proposition 3.6, $R_q(\mathbf{R}_T)$ is surjective. Hence $\mathfrak{q} \notin \sigma_{su}^S(\mathbf{R}_T)$, and we get $\sigma_{su}^S(\mathbf{R}_T) \subseteq \sigma_{ap}^S(T)$. To verify the other inclusion $\sigma_{ap}^S(T) \subseteq \sigma_{su}^S(\mathbf{R}_T)$, suppose that $\mathfrak{q} \notin \sigma_{su}^S(\mathbf{R}_T)$, then $R_q(\mathbf{R}_T)$ is surjective. This implies that for each $A \in B(V_{\mathbb{H}}^R)$, there exists $B \in B(V_{\mathbb{H}}^R)$ such that $R_q(\mathbf{R}_T)B = A$. That is, $BR_q(T) = A$. Assuming $A, B \neq 0$ without loss of generality, we get

$$\|R_q(T)\| \geq \frac{\|A\|}{\|B\|}.$$

This gives that $R_{\mathfrak{q}}(T)$ is bounded below, and $\mathfrak{q} \notin \sigma_{ap}^S(T)$. Therefore the equality $\sigma_{su}^S(\mathbf{R}_T) = \sigma_{ap}^S(T)$ holds. \square

The following theorem is the main result about the S -spectral properties of commutators which we provide in this note.

Theorem 5.5. *For arbitrary operators $S, T \in B(V_{\mathbb{H}}^R)$ the following assertions hold true for their commutator $C(S, T)$.*

- (a) $\sigma_S(C(S, T)) = \sigma_S(S) - \sigma_S(T)$,
- (b) $\sigma_{ap}^S(C(S, T)) = \sigma_{ap}^S(S) - \sigma_{su}^S(T)$,
- (c) $\sigma_{su}^S(C(S, T)) = \sigma_{su}^S(S) - \sigma_{ap}^S(T)$.

Proof. To prove (b), In order to establish $\sigma_{ap}^S(S) - \sigma_{su}^S(T) \subseteq \sigma_{ap}^S(C(S, T))$, let $\mathfrak{q} \in \sigma_{ap}^S(S)$ and $\mathfrak{p} \in \sigma_{su}^S(T)$. It follows, from (a) and (b) in the Proposition 5.4, that $\mathfrak{q} \in \sigma_{ap}^S(\mathbf{L}_S)$ and $\mathfrak{p} \in \sigma_{ap}^S(\mathbf{R}_T)$. By Proposition 4.5 we have

$$\mathfrak{q} \in \sigma_{ap}^S(\mathbf{L}_S) = \sigma_{ap}^S(\mathbf{L}_S^\circ) = \sigma_{pS}(\mathbf{L}_S^\circ).$$

Therefore, there exists $A \in B(V_{\mathbb{H}}^R)$ such that $A \neq 0$ and $(\mathbf{L}_S^\circ - \mathfrak{q})A = 0$. That is, $(S^\circ - \mathfrak{q})A = 0$. Again by Proposition 4.5,

$$\mathfrak{p} \in \sigma_{ap}^S(\mathbf{R}_T) = \sigma_{ap}^S(\mathbf{R}_T^\circ) = \sigma_{pS}(\mathbf{R}_T^\circ).$$

Therefore there exists $B \in B(V_{\mathbb{H}}^R)$ such that $B \neq 0$ and $(\mathbf{R}_T^\circ - \mathfrak{p})B = 0$. That is $B(T^\circ - \mathfrak{p}) = 0$. Consider

$$\begin{aligned} (C(S, T)^\circ - \mathfrak{q} + \mathfrak{p})AB &= (\mathbf{L}_S^\circ - \mathbf{R}_T^\circ - \mathfrak{q} + \mathfrak{p})AB \\ &= (\mathbf{L}_S^\circ - \mathfrak{q})AB - (\mathbf{R}_T^\circ - \mathfrak{p})AB \\ &= (S^\circ - \mathfrak{q})AB - AB(T^\circ - \mathfrak{p}) = 0. \end{aligned}$$

Thus

$$\mathfrak{q} - \mathfrak{p} \in \sigma_{pS}(C(S, T)^\circ) = \sigma_{ap}^S(C(S, T)^\circ) = \sigma_{ap}^S(C(S, T)).$$

Therefore $\sigma_{ap}^S(S) - \sigma_{su}^S(T) \subseteq \sigma_{ap}^S(C(S, T))$. Now since $\sigma_{ap}^S(\mathbf{L}_S) = \sigma_{ap}^S(S)$ and $\sigma_{ap}^S(\mathbf{R}_T) = \sigma_{su}^S(T)$, we get from part (a) of Proposition 5.1,

$$\sigma_{ap}^S(C(S, T)) = \sigma_{ap}^S(\mathbf{L}_S - \mathbf{R}_T) \subseteq \sigma_{ap}^S(\mathbf{L}_S) - \sigma_{ap}^S(\mathbf{R}_T) \subseteq \sigma_{ap}^S(S) - \sigma_{su}^S(T).$$

This concludes the proof for (b).

(c) Applying $\sigma_{su}^S(\mathbf{L}_S) = \sigma_{su}^S(S)$, and $\sigma_{su}^S(\mathbf{R}_T) = \sigma_{ap}^S(T)$ in part (b) of Proposition 5.1, the inclusion \subseteq in assertion (c) is established. Next to show that $\sigma_{su}^S(S) - \sigma_{ap}^S(T) \subseteq \sigma_{su}^S(C(S, T))$, let $\mathfrak{q} \in \sigma_{su}^S(S)$ and $\mathfrak{p} \in \sigma_{ap}^S(T)$. It follows from (a) and (b) in Proposition 5.4, and Proposition 4.5 that

$$\begin{aligned} \mathfrak{q} \in \sigma_{ap}^S(\mathbf{R}_S) &= \sigma_{ap}^S(\mathbf{R}_S^\circ) = \sigma_{pS}(\mathbf{R}_S^\circ) \quad \text{and} \quad \mathfrak{p} \in \sigma_{ap}^S(\mathbf{L}_T) \\ &= \sigma_{ap}^S(\mathbf{L}_T^\circ) = \sigma_{pS}(\mathbf{L}_T^\circ). \end{aligned}$$

Thus by the definition of point spectrum $\bar{\mathfrak{q}} \in \sigma_{pS}(\mathbf{R}_S^\circ)$ and $\bar{\mathfrak{p}} \in \sigma_{pS}(\mathbf{L}_T^\circ)$. Therefore, there exists $A, B \in B(V_{\mathbb{H}}^R)$ with $A \neq 0$ and $B \neq 0$ such that $A(S^\circ - \bar{\mathfrak{q}}) = 0$ and $(T^\circ - \bar{\mathfrak{p}})B = 0$. Hence, by Proposition 3.2, we have $((S^\circ)^\dagger - \bar{\mathfrak{q}})A^\dagger = 0$ and $B^\dagger((T^\circ)^\dagger - \bar{\mathfrak{p}}) = 0$. Hence, by Proposition 3.2,

$$\begin{aligned} ((C(S, T)^\circ)^\dagger - \mathfrak{q} + \mathfrak{p})A^\dagger B^\dagger &= ((\mathbf{L}_S^\circ)^\dagger - \mathfrak{q})A^\dagger B^\dagger - ((\mathbf{R}_T^\circ)^\dagger - \mathfrak{p})A^\dagger B^\dagger \\ &= ((S^\circ)^\dagger - \mathfrak{q})A^\dagger B^\dagger - A^\dagger B^\dagger((T^\circ)^\dagger - \mathfrak{p}) = 0. \end{aligned}$$

Therefore $\mathfrak{q} - \mathfrak{p} \in \sigma_{pS}((C(S, T)^\circ)^\dagger)$. By proposition 4.2, $\mathfrak{q} - \mathfrak{p} \in \sigma_{pS}((C(S, T)^\circ)^\dagger) = \sigma_{pS}((C(S, T)^\dagger)^\circ)$. Now by propositions 4.5 and 3.18, we have

$$\mathfrak{q} - \mathfrak{p} \in \sigma_{pS}((C(S, T)^\dagger)^\circ) = \sigma_{ap}^S(C(S, T)^\dagger) = \sigma_{su}^S(C(S, T)).$$

Hence $\sigma_{su}^S(S) - \sigma_{ap}^S(T) \subseteq \sigma_{su}^S(C(S, T))$, which completes the proof of (c).

To establish (a), let $S, T \in B(V_{\mathbb{H}}^R)$. Since $\mathbf{L}_S \mathbf{R}_T(A) = \mathbf{R}_T \mathbf{L}_S(A) = SAT$ for all $A \in B(V_{\mathbb{H}}^R)$, $\mathbf{L}_S, \mathbf{R}_T \in B(B(V_{\mathbb{H}}^R))$ commute. Let $\mathfrak{q} \in \sigma_S(\mathbf{L}_S)$, then if $\ker(\mathbf{L}_S) \neq \{0\}$, there exists $A \in \mathcal{B}(V_{\mathbb{H}}^R)$ such that $A \neq 0$ and $R_{\mathfrak{q}}(\mathbf{L}_S)(A) = 0$. That is

$$S^2A - 2\text{Re}(\mathfrak{q})SA + |\mathfrak{q}|^2A = (S^2 - 2\text{Re}(\mathfrak{q})S + |\mathfrak{q}|^2)A = 0.$$

Hence $(S^2 - 2\text{Re}(\mathfrak{q})S + |\mathfrak{q}|^2)A\phi = 0$, for some $\phi \in V_{\mathbb{H}}^R$ as $A \neq 0$, and therefore $\ker(R_{\mathfrak{q}}(S)) \neq \{0\}$. If $\text{ran}(R_{\mathfrak{q}}(\mathbf{L}_S)) \neq B(V_{\mathbb{H}}^R)$, then there exists $B \in B(V_{\mathbb{H}}^R)$ such that $R_{\mathfrak{q}}(\mathbf{L}_S)(A) \neq B$ for all $A \in B(V_{\mathbb{H}}^R)$. That is, $S^2A - 2\text{Re}(\mathfrak{q})SA + |\mathfrak{q}|^2A \neq B$ for all $A \in B(V_{\mathbb{H}}^R)$. In other words, $R_{\mathfrak{q}}(S)A\phi \neq B\phi$ for all $A \in B(V_{\mathbb{H}}^R)$ and $\phi \in V_{\mathbb{H}}^R$. Hence $\text{ran}(R_{\mathfrak{q}}(S)) \neq V_{\mathbb{H}}^R$. As a conclusion $\mathfrak{q} \in \sigma_S(S)$ and hence $\sigma_S(\mathbf{L}_S) \subseteq \sigma_S(S)$.

Now let $\mathfrak{q} \in \sigma_S(\mathbf{R}_T)$. If $\ker(R_{\mathfrak{q}}(\mathbf{R}_T)) \neq \{0\}$, then there exists $A \in B(V_{\mathbb{H}}^R)$ such that $A \neq 0$ and $R_{\mathfrak{q}}(\mathbf{R}_T)(A) = 0$, that is $AR_{\mathfrak{q}}(T) = 0$. Thus $R_{\mathfrak{q}}(T)\phi = 0$ for some $0 \neq \phi \in V_{\mathbb{H}}^R$, and therefore $\ker(R_{\mathfrak{q}}(T)) \neq \{0\}$. If $\text{ran}(R_{\mathfrak{q}}(\mathbf{R}_T))^\perp \neq B(V_{\mathbb{H}}^R)$, then there exists $B \in \mathcal{B}(V_{\mathbb{H}}^R)$ such that $R_{\mathfrak{q}}(\mathbf{R}_T)(A) \neq B$, for all $A \in B(V_{\mathbb{H}}^R)$. That is $AR_{\mathfrak{q}}(T) \neq B$, for all $A \in B(V_{\mathbb{H}}^R)$, and hence $\mathbb{I}_{V_{\mathbb{H}}^R} R_{\mathfrak{q}}(T) \neq B$. Therefore $\text{ran}(R_{\mathfrak{q}}(T)) \neq V_{\mathbb{H}}^R$. Hence we can conclude that $\mathfrak{q} \in \sigma_S(T)$ and $\sigma_S(\mathbf{R}_T) \subseteq \sigma_S(T)$. Because $C(S, T) = \mathbf{L}_S - \mathbf{R}_T$, by part (c) of Proposition 5.1 we have

$$\sigma_S(C(S, T)) \subseteq \sigma_S(\mathbf{L}_S) - \sigma_S(\mathbf{R}_T) \subseteq \sigma_S(S) - \sigma_S(T).$$

This establishes the inclusion \subseteq in assertion (a). Now for each $A, B \in B(V_{\mathbb{H}}^R)$, we have

$$C(\mathbf{L}_S, \mathbf{R}_T)AB = \mathbf{L}_SAB - \mathbf{A}\mathbf{R}_TB = SAB - ABT = C(S, T)AB.$$

This implies that

$$C(\mathbf{L}_S, \mathbf{R}_T) = C(S, T), \quad \forall S, T \in B(V_{\mathbb{H}}^R). \tag{5.1}$$

On the other hand, using Eq. 5.1, from part (c),

$$\sigma_{su}^S(C(S, T)) = \sigma_{su}^S(C(\mathbf{L}_S, \mathbf{R}_T)) \supseteq \sigma_{su}^S(\mathbf{L}_S) - \sigma_{ap}^S(\mathbf{R}_T) = \sigma_{su}^S(S) - \sigma_{su}^S(T) \tag{5.2}$$

as $\sigma_{ap}^S(\mathbf{R}_T) = \sigma_{su}^S(T)$ and $\sigma_{su}^S(\mathbf{L}_S) = \sigma_{su}^S(S)$. Similarly from part (b), we get

$$\sigma_{ap}^S(C(S, T)) = \sigma_{ap}^S(C(\mathbf{L}_S, \mathbf{R}_T)) \supseteq \sigma_{ap}^S(\mathbf{L}_S) - \sigma_{su}^S(\mathbf{R}_T) = \sigma_{ap}^S(S) - \sigma_{ap}^S(T) \tag{5.3}$$

as $\sigma_{ap}^S(\mathbf{L}_S) = \sigma_{ap}^S(S)$ and $\sigma_{su}^S(\mathbf{R}_T) = \sigma_{ap}^S(T)$. Now the inclusions (5.2) and (5.3) guarantee that the other inclusion in assertion (a) holds,

$$\sigma_S(C(S, T)) \supseteq \sigma_S(\mathbf{L}_S) - \sigma_S(\mathbf{R}_T) \subseteq \sigma_S(S) - \sigma_S(T).$$

Therefore the assertion (a) follows. Hence the theorem holds. \square

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